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Substitute for form 1449A/PTO	Complete if Known			
	Application Number	09/005,006		
INFORMATION DISCLOSURE	Filing Date	January 9, 1998		
STATEMENT BY APPLICANT	First Named Inventor	Shuichi KANNO		
	Art Unit	1793		
(Use as many sheets as necessary)	Examiner Name	Ngoc Nguyen		
t 1 of 8	Attorney Docket Number	056203.50311US		

Sheet

Examiner

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials'	No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
/NN/	B1	US- 5,468,459	11-21-1995	Tamhankar et al.	
-	B2	US- 4,807,016	02-21-1989	Douglas	
	83	US-5,417,742	05-23-1995	Tamhankar et al.	
	B4	US- 5,396,022	03-07-1995	Wu et al.	
7/	B5	US- 5,328,556	07-12-1994	Matlow	
-V	B6	US- 5,451,378	09-19-1995	Russell et al.	
/NN/	B7	US- 5,508,021	04-16-1996	Grinstaff et al.	
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		FOREIG	ON PATENT DO	CUMENTS		
Examiner Initials'	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°
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Examiner Signature	/Ngoc Yen Nguyen/ (07/20/2008)	Date Considered	07/20/2008
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Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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of

Attorney Docket Number

Sheet		2	of	- 8	Attorney Dock	Number	056203.5	031103	
				NON PATE	NT LITERATURE	DOCUMENTS	3		
Examiner Initials*	Cite No.1	magazine, journa	nclude name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, agazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, nd/or country where published.						т'
/NN/ B8 Rossin Miscellaneous Motion 9, Patent Interference No. 105,402 (McK), Technology Center 1700, August 8, 2006									
	В9				otion 9 (via e-ma r 1700, August 8,		McKelvey), F	Patent Interference No.	
	B10	Kanno Reply 1	Patent	Interferenc	e No. 105,402 (M	cK), Technolo	gy Center 17	700, August 11, 2006	
	B11	Kanno Reply 2	Patent	Interferenc	e No. 105,402 (M	cK), Technolo	gy Center 17	700, August 11, 2006	
	B12	Kanno Reply 3	Patent	Interferenc	e No. 105,402 (M	cK), Technolo	gy Center 17	700, August 11, 2006	L
	B13	Kanno Reply 4	Patent	Interferenc	e No. 105,402 (M	K), Technolo	gy Center 17	700, August 11, 2006	
	B14	Kanno Reply 5	Patent	Interferenc	e No. 105,402 (M	cK), Technolo	gy Center 17	700, August 11, 2006	
	B15	Rossin Reply 4	Rossin Reply 4, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006						
	B16	Rossin Reply 8	Rossin Reply 8, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006						
	B17	Kanno Objectio Center 1700, A			bits (No. 3), Pate	nt Interference	No. 105,402	2 (McK), Technology	
	B18				Dismissing as M echnology Cente			Motion 9, Patent	
	B19	Rossin Objecti Center 1700, A			bits (No. 3), Pate	nt Interference	No. 105,402	2 (McK), Technology	
	B20	Notice of Confe 2006	erence,	Patent Inter	rference No. 105,	102 (McK), Te	chnology Ce	enter 1700, September 6,	
$\overline{V}$	B21	Kanno Miscella Technology Ce				ce), Patent In	terference N	o. 105,402 (McK),	
/NN/	B22	Kanno Reques September 8, 2		al Argumen	t, Patent Interfere	nce No. 105,4	102 (McK), Te	echnology Center 1700,	
Examiner	_	/Nggc Yen N	auwen/	(07/20/2009)			Date	07/20/2008	

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	T <sup>2</sup>
/NN/ B23		Rossin Miscellaneous Motion 10 (to Exclude Kanno Exhibits), Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
	B24	Rossin Request for Oral Argument, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
	B25	Order Setting Oral Argument Date, Patent Interference No. 105,402 (McK), Technology Center 1700, September 14, 2006	
	B26	Kanno Opposition 10, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006	
	B27	Rossin Opposition 6, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006	
	B28	Kanno Reply 6, Patent Interference No. 105,402 (McK), Technology Center 1700, October 19, 2006	
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	B30	Rossin Submission of Demonstrative Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, November 7, 2006	
	B31	Rossin's Amended Designation of Lead and Backup Counsel, Patent Interference No. 105,402 (McK), Technology Center 1700, November 10, 2006	
	B32	Letter to Judge McKelvey from M. Jacobs, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
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	B34	Memorandum Opinion and Order – Decision on Kanno Motions 3, 4 and 5 and Other Issues, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
	B35	Final Judgment, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
	B36	Redeclaration, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
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/NN/	В38	Memorandum Opinion, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	

Examiner	/Ngoc Yen Nguyen/ (07/20/2008)	Date	
Signature		Considered	07/20/2008

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Examiner Cite No.1		o. magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
/NN/	В39	Rossin's Request for Rehearing, Patent Interference No. 195,402 (McK), Technology Center 1700, February 28, 2007			
	B40	Rossin Priority Statement, Patent Interference No. 105,402 (McK), Technology Center 1700, February 28, 2007			
	B41	Order Inviting Response, Patent Interference No. 105,402 (McK), Technology Center 1700, March 1, 2007			
	B42	Kanno Response to Request for Rehearing, Patent Interference No. 105,402 (McK), Technology Center 1700, March 22, 2007			
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	B44	Kanno Substantive Motion 4 (Corrected) (to add Count B), Patent Interference No. 105,402 (McK), Technology Center 1700, August 7, 2006			
	B45	Kanno Substantive Motion 5 (Corrected) (to add Count C), Patent Interference No. 105,402 (McK), Technology Center 1700, August 7, 2006			
	B46	Post Judgment Communication, Patent Interference No. 105,402 (McK), Technology Center 1700, August 20, 2007			
	B47	Notice Granting Request to Keep Agreement Separate from Interference File, Patent Interference No. 105,402 (McK), Technology Center 1700, August 28, 2007			
	B48	Order Denying Rehearing, Patent Interference No. 105,402 (McK), Technology Center 1700, May 8, 2007			
	B49	Memorandum and Order (Decision on Petition Under 37 C.F.R. § 1.304(a)(3)(i), Patent Interference No. 105,402 (McK), Technology Center 1700, July 5, 2007			
	B50	Second Extension of Time for Appeal, Patent Interference No. 105,402 (McK), Technology Center 1700, July 19, 2007			
	B51	Stipulated Extension of Motion Times, Patent Interference No. 105,512 (McK), Technology Center 1700, July 19, 2007			
	B52	Memorandum and Order (Decision on Petition Under 37 C.F.R. § 1.304(a)(3)(i), Patent Interference No. 105,402 (McK), Technology Center 1700, July 20, 2007			
$\overline{\mathbf{V}}$	B53	Notice of Filing of Settlement Agreement and Request to Keep Same Separate from Interference Files, Patent Interference No. 105,402 (McK), Technology Center 1700, August 9, 2007			
/NN/	B54	Notice of Filing of Express Abandonment, Patent Interference No. 105,402 (McK), Technology Center 1700, August 15, 2007			

Examiner	/Ngoc Yen Nguyen/ (07/20/2008)	Date	07/20/2008
Signature	11900 Tell Tigayeli (07/E0/E000)	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. \*Applicants unique citation designation number (policina). \*Applicant is to place a check mark here if English language Translation is attached.

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		NON PATENT LITERATURE DOCUMENT	<u> </u>		_		
xaminer nitials*	No.1 magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		umber(s), publisher, city,	T			
/NN/	B55	Declaration of Takeshi "Ken" Yasuda, Patent Interference No. 1 July 19, 2006	05,402 (McK),	Technology Center 1700,			
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	B57	Revocation of Power of Attorney and Appointment of New Pow 2001 filed in U.S. Application Serial No. 09/005,006	er of Attorney	dated November 15,			
	B58	Regents of the Univ. of Cal. v. Univ. of Iowa Research Found., 4	155 F.3d 1371	(Fed. Cir. 2006)			
	B59	Kanno Objections to Rossin Exhibits, Patent Interference No. 1 April 28, 2006	05,402 (McK),	Technology Center 1700,			
	B60	Kanno Objections to Rossin Exhibits (No. 2), Patent Interference Center 1700, June 23, 2006	Kanno Objections to Rossin Exhibits (No. 2), Patent Interference No. 105,402 (McK), Technology Center 1700, June 23, 2006				
	B61	Kanno Objections to Rossin Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 14, 2006					
	B62	PTO-1449 Forms filed in U.S. Application Serial No. 09/005,006	PTO-1449 Forms filed in U.S. Application Serial No. 09/005,006				
	B63	Notices of References Cited from U.S. Application Serial No. 09	/005,006				
	B64	Kanno List of Exhibits, Patent Interference No. 105,402 (McK), 1 2006	Kanno List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006				
	B65	Rossin Submission of List of Objections, Patent Interference N 1700, April 28, 2006	o. 105,402 (Ma	cK), Technology Center			
	B66	Rossin Objections to Kanno Exhibits (No. 3), Patent Interference Center 1700, August 18, 2006	e No. 105,402	(McK), Technology			
	B67		CAMBIO et al., "Analysis of Data for Perfluorocompund (PFC) Emission Controls Systems (S69)," http://www.sematech.org/docubase/abstracts/2847aena.htm, July 6, 1995				
V	B68	Letter to G. Birkenmeler from M. Jones, American Chemical So	ciety, Septem	ber 22, 2006			
/NN/	B69	Declaration of David Flaxbart, Patent Interference No. 105,402 ( September 29, 2006	McK), Techno	logy Center 1700,			
xaminer	_	/Ngoc Yen Nguyen/ (07/20/2008)	Date	07/20/2008	=		

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				Application Number	09/005,006			
	INFORMATION DISC	LOS	SURE	Filing Date	January 9, 1998			
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(Use as many sheets as necessary)				Art Unit	1793			
				Examiner Name	Ngoc Nguyen			
Sheet	6	of	8	Attorney Docket Number	056203.50311US			

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/NN/	B70	Declaration of Susan Rogers, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006			
	B71	Hitachi High Technologies America, "SCDS PFC Abatement System (The SCDS Advantage)," February 28, 2007			
	B72	Hitachi High Technologies America, "SCDS PFC Abatement System (System Performance)," February 28, 2007			
	B73	Hitachi High Technologies America, "SCDS PFC Abatement System (System Overview)," February 28, 2007			
	B74	Hitachi High Technologies America, "SCDS PFC Abatement System (System Maintenance," February 28, 2007			
	B75	Rossin Submission of Current List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700. September 8, 2006			
	B76	Rossin Submission of Current List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, February 28, 2007			
В7		Rossin Submission of Current List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006			
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	B81	Rossin's Designation of the Real Party-in-Interest, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007			
	B82	Rossin's Notice of Related Proceedings, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007			
	B83	Rossin's Request for File Copies, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007			
V	B84	Rossin's Submission of a Clean Copy of Claims, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007			
/NN/	B85	Kanno Designation of Lead and Backup Counsel, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007			

Examiner Signature	/Ngoc Yen Nguyen/ (07/20/2008)	Date Considered	07/20/2008	١
Signature		Considered		_

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Substitute for form 1449APTO

Application Number 09/005,006

INFORMATION DISCLOSURE Filing Date January 9, 1998 STATEMENT BY APPLICANT Shuichi KANNO First Named Inventor Art Unit 1793 Examiner Name Ngoc Nguyen (Use as many sheets as necessary) 056203.50311US of R Attorney Docket Number Sheet

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	B90	Kanno Notice of Related Proceedings (Amended), Patent Interference No. 105,512 (McK), Technology Center 1700, February 13, 2007				
	B91	Kanno List of Proposed Motions, Patent Interference No. 105,512 (McK), Technology Center 1700, March 1, 2007				
	B92	Rossin's Motions List, Patent Interference No. 105,512 (McK), Technology Center 1700, March 1, 2007				
B93		Order - Motion Times - Bd.R. 104(c), Patent Interference No. 105,512 (McK), Technology Center 1700, March 9, 2007				
B94		Revised Order - Motion Times - Bd.R. 104(c), Patent Interference No. 105,512 (McK), Technology Center 1700, March 12, 2007				
B95		Rossin's Uncontested Miscellaneous Motion 1 (Certificate of Correction for Claim 4), Patent Interference No. 105,512 (McK), Technology Center 1700, March 13, 2007				
	B96	Request for Certificate of Correction dated March 13, 2007 filed in U.S. Patent No. 6,673,326				
B97 Order – Format and Length of Papers, Patent Interference No. 105,512 (McK), Ti 1700, March 15, 2007		Order – Format and Length of Papers, Patent Interference No. 105,512 (McK), Technology Center 1700, March 15, 2007				
	B98	Order Granting Rossin Miscellaneous Motion 1, Patent Interference No. 105,512 (McK), Technology Center 1700, March 15, 2007				
$\forall$	B99	Letter to Judge McKelvey from G. Birkenmeier, Patent Interference No. 105,512 (McK), Technology Center 1700, April 16, 2007				
/NN/	B100	Stipulated Changes to Revised Order – Motion Times, Patent Interference No. 105,512 (McK), Technology Center 1700, May 23, 2007				

Signature	/Ngoc Yen Nguyen/ (07/20/2008)	Considered 07/20/2008

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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	09/005,006	
1	NFORMATION DISC	LOS	URE	Filing Date	January 9, 1998	
	STATEMENT BY API	PLIC	ANT	First Named Inventor	Shuichi KANNO	
				Art Unit	1793	
(Use as many sheets as necessary)				Examiner Name	Ngoc Nguyen	
Sheet	8	of	8	Attorney Docket Number	056203.50311US	

		NON PATENT LITERATURE DOCUMENTS					
Examiner nitials*	Cite No.1	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where publisher.					
/NN/	B101	Stipulated Extension of Time (Time Periods 1-6), Patent Interference No. 105,512 (McK), Technology Center 1700, June 25, 2007					
	B102	Notice of Filing of Settlement Agreement and Request to Keep Same Separate from Interference Files, Patent Interference No. 105,512 (McK), Technology Center 1700, August 9, 2007					
	B103	Notice of Filing of Express Abandonment, Patent Interference No. 105,512 (McK), Technology Center 1700, August 15, 2007					
V	B104	Judgment, Patent Interference No. 105,512 (McK), Technology Center 1700, August 17, 2007					
/NN/	B105	Notice Granting Request to Keep Agreement Separate from Interference File, Patent Interference No. 105,512 (McK), Technology Center 1700, August 28, 2007					
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Examiner	/Naoc Yen Nauven/ (07/20/2008)	Date	07/20/2008
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached

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